SEC Form 4
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FORM 4

UNITED STATES SECURITIES AND EX	<b>(CHANGE COMMISSION</b>
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Washington, D.C. 20549

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Section 16. Form 4 or Form 5 obligations may continue. See						A Definition of the securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol HELIUS MEDICAL TECHNOLOGIES, INC. [HSDT] 3. Date of Earliest Transaction (Month/Day/Year) 12/07/2019 4. If Amendment, Date of Original Filed (Month/Day/Year)						4 5. Re (Che ) (Che ) (Che ) (Che ) (Che ) (Che ) (Che ) (Che ) (Che ) (Che ) (Che ) (Che ) (Che ) (Che ) (Che ) (Che ) (Che))((Che))((Che))(C	COMB APPROVAL   OMB Number: 3235-0287   Estimated average burden hours per response: 0.5   5. Relationship of Reporting Person(s) to Issuer (Check all applicable) 0% Owner   X Director 10% Owner   Officer (give title below) Other (specify below)   6. Individual or Joint/Group Filing (Check Applicable Line) X   X Form filed by One Reporting Person Form filed by More than One Reporting				3235-0287 0.5 er ner pecify licable	
(City)	(S	tate)	(Zip)											Person				
1. Title of Security (Instr. 3) 2. Trans Date					Action Day/Year) (Month/Day/Year)			uired, 3. Transa Code 8) Code	action	4. Securitie	f, or Beneficial es Acquired (A) or Of (D) (Instr. 3, 4 and (A) or (D) Price		5. Amoun Securities Beneficial Owned Fo Reported Transactio	Amount of 6. Or ecurities Form eneficially (D) or wned Following (I) (II		Direct I Indirect E str. 4) (	7. Nature of ndirect Beneficial Dwnership Instr. 4)	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Dat if any (Month/Day/Ye	te, 4. Co	ansao ode (l	action (Instr. 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			Number of rivative curities quired (A) or sposed of (Instr. 3, 4			I Amount es J Security	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported	e s Illy J	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Co	ode	v	(A)	(D)	Date Exercis	able	Expiration Date	Title	Amount or Number of Shares		Transacti (Instr. 4)	on(s)		
Stock Option (Right to Buy)	\$4.48	12/07/2019		D	(1)			10,000	(2)		12/31/2020	Class A Common Stock	10,000	\$0.00	0		D	
Stock Option (Right to Buy)	\$4.48	12/07/2019		A	(1)		10,000		(2)		12/31/2025	Class A Common Stock	10,000	\$0.00	10,00	0	D	
Stock Option (Right to Buy)	\$5.35	12/07/2019		D	(3)			20,000	(2)		07/13/2020	Class A Common Stock	20,000	\$0.00	0		D	
Stock Option (Right to Buy)	\$5.35	12/07/2019		A	(3)		20,000		(2)		07/13/2026	Class A Common Stock	20,000	\$0.00	20,00	0	D	

of Responses:

1. These two reported transactions involved an amendment of an outstanding option originally granted on December 31, 2015 resulting in the deemed cancellation of the December 31, 2015 option and the grant of a replacement option with the same terms other than the expiration date.

2. Immediately exercisable.

3. These two reported transactions involved an amendment of an outstanding option originally granted on July 13, 2016 resulting in the deemed cancellation of the July 13, 2016 option and the grant of a replacement option with the same terms other than the expiration date.

## **Remarks:**

Effective January 22, 2018, the Issuer effected a 1-for-5 reverse stock split of the Issuer's Class A Common Stock. All share numbers herein give effect to the reverse stock split. In addition, all conversion or exercise prices of derivative securities in column 2 of Table II herein are translated into U.S. Dollars from Canadian dollars.

<u>/s/</u> .	<u>Joyce</u>	LaViscoun	t <u>, Attorney-</u>	12/16/2019
in-l	Fact			12/10/2013

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.